

CLOSED,TYPE I-FOIA

**U.S. District Court
District of Columbia (Washington, DC)
CIVIL DOCKET FOR CASE #: 1:11-cv-01814-BJR**

CITIZENS FOR RESPONSIBILITY AND ETHICS IN
WASHINGTON v. SECURITIES AND EXCHANGE
COMMISSION

Assigned to: Judge Barbara Jacobs Rothstein
Cause: 05:552 Freedom of Information Act

Date Filed: 10/13/2011
Date Terminated: 12/21/2012
Jury Demand: None
Nature of Suit: 895 Freedom of
Information Act
Jurisdiction: U.S. Government Defendant

Plaintiff

**CITIZENS FOR RESPONSIBILITY
AND ETHICS IN WASHINGTON**

represented by **Anne L. Weismann**
CITIZENS FOR RESPONSIBILITY AND
ETHICS IN WASHINGTON
1400 Eye Street, NW
Suite 450
Washington, DC 20005
(202) 408-5565 Ext. 108
Fax: (202) 588-5020
Email: aweismann@citizensforethics.org
ATTORNEY TO BE NOTICED

Scott Allan Hodes
LAW OFFICE OF SCOTT HODES
P.O. Box 42002
Washington, DC 20015
(301) 404-0502
Fax: (413) 641-2833
Email: infoprivacylaw@yahoo.com
ATTORNEY TO BE NOTICED

V.

Defendant

**SECURITIES AND EXCHANGE
COMMISSION**

represented by **Thomas Jeffrey Karr**
SECURITIES & EXCHANGE
COMMISSION
100 F Street, NE
Washington, DC 20549-9612
(202) 551-5163
Fax: (202) 772-9263
Email: karrt@sec.gov
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
10/13/2011	<u>1</u>	COMPLAINT against SECURITIES AND EXCHANGE COMMISSION (Filing fee \$ 350, receipt number 4616042931) filed by CITIZENS FOR RESPONSIBILITY AND ETHICS IN WASHINGTON. (Attachments: # <u>1</u> Civil Cover Sheet)(dr) (Entered: 10/14/2011)
10/13/2011		SUMMONS (3) Issued as to SECURITIES AND EXCHANGE COMMISSION, U.S. Attorney and U.S. Attorney General (dr) (Entered: 10/14/2011)
10/13/2011	<u>2</u>	LCvR 7.1 CERTIFICATE OF DISCLOSURE of Corporate Affiliations and Financial Interests by CITIZENS FOR RESPONSIBILITY AND ETHICS IN WASHINGTON identifying Corporate Parent NONE for CITIZENS FOR RESPONSIBILITY AND ETHICS IN WASHINGTON. (dr) (Entered: 10/14/2011)

11/11/2011	<u>3</u>	NOTICE of Appearance by Thomas Jeffrey Karr on behalf of SECURITIES AND EXCHANGE COMMISSION (Karr, Thomas) (Entered: 11/11/2011)
11/15/2011	<u>4</u>	MOTION for Extension of Time to <i>Respond to Complaint</i> by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Text of Proposed Order)(Karr, Thomas) (Entered: 11/15/2011)
11/16/2011		MINUTE ORDER granting <u>4</u> Defendant's Motion for Extension of Time to Respond to Complaint. Accordingly, defendant's answer is due on December 12, 2011. Signed by Judge Henry H. Kennedy, Jr. on November 16, 2011. (NP) (Entered: 11/16/2011)
12/08/2011	<u>5</u>	ANSWER to <u>1</u> Complaint for <i>Declaratory and Injunctive Relief</i> by SECURITIES AND EXCHANGE COMMISSION.(Karr, Thomas) (Entered: 12/08/2011)
12/15/2011	<u>6</u>	Case reassigned to Judge Barbara Jacobs Rothstein. Judge Henry H. Kennedy no longer assigned to the case. (ds) (Entered: 12/15/2011)
01/06/2012	<u>7</u>	STANDING ORDER applicable to this case from this date forward. Signed by Judge Barbara Jacobs Rothstein on January 6, 2012. (lchhk1) (Entered: 01/06/2012)
02/13/2012	<u>8</u>	ORDER to submit a Joint Proposed Case Plan. Signed by Judge Barbara Jacobs Rothstein on February 13, 2012. (lchhk1) (Entered: 02/13/2012)
02/14/2012		Set/Reset Deadlines: Joint Proposed Case Plan due by 3/9/2012. (tth) (Entered: 02/14/2012)
03/09/2012	<u>9</u>	JOINT STATUS REPORT by CITIZENS FOR RESPONSIBILITY AND ETHICS IN WASHINGTON, SECURITIES AND EXCHANGE COMMISSION. (Hodes, Scott) (Entered: 03/09/2012)
05/01/2012	<u>10</u>	STATUS REPORT (<i>Joint</i>) by CITIZENS FOR RESPONSIBILITY AND ETHICS IN WASHINGTON. (Hodes, Scott) (Entered: 05/01/2012)
07/13/2012	<u>11</u>	STATUS REPORT <i>Joint</i> by CITIZENS FOR RESPONSIBILITY AND ETHICS IN WASHINGTON, SECURITIES AND EXCHANGE COMMISSION. (Hodes, Scott) (Entered: 07/13/2012)
08/06/2012		MINUTE ORDER directing parties to file a proposed scheduling order on the issues that remain to be litigated in this case no later than September 10, 2012. Signed by Judge Barbara Jacobs Rothstein on August 6, 2012. (lchhk2) (Entered: 08/06/2012)
08/07/2012		Set/Reset Deadlines: proposed scheduling order on the issues that remain to be litigated in this case no later 9/10/2012. (tth) (Entered: 08/07/2012)
08/31/2012	<u>12</u>	STATUS REPORT (<i>Joint</i>) by CITIZENS FOR RESPONSIBILITY AND ETHICS IN WASHINGTON, SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Text of Proposed Order)(Hodes, Scott) (Entered: 08/31/2012)
09/17/2012		MINUTE ORDER: It is hereby ORDERED that defendant Securities and Exchange Commission (Commission) will file its motion for summary judgment by October 19, 2012; plaintiff Citizens for Responsibility and Ethics in Washington (CREW) will file its cross-motion for summary judgment and opposition to the Commissions motion for summary judgment by November 16, 2012; defendant Commission will file its opposition to CREWs cross-motion for summary judgment and reply to CREWs opposition to the Commissions motion for summary judgment by December 7, 2012; plaintiff CREW will file its reply to the Commissions Opposition by December 21, 2012. Signed by Judge Barbara Jacobs Rothstein on 09/17/2012. (lcbjr1) Modified on 9/18/2012 (cdw). (Entered: 09/17/2012)
09/17/2012		Set/Reset Deadlines/Hearings: Defendant's Summary Judgment motion due by 10/19/2012. Response to Motion for Summary Judgment due by 11/16/2012. Reply to Motion for Summary Judgment due by 12/7/2012. Plaintiff's Cross Motion due by 11/16/2012. Response to Cross Motion due by 12/7/2012. Reply to Cross Motion due by 12/21/2012. (cdw) (Entered: 09/18/2012)

10/11/2012	<u>13</u>	MOTION for Extension of Time to <i>File Motion for Summary Judgment and For Revised Briefing Schedule</i> by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Text of Proposed Order)(Karr, Thomas) (Entered: 10/11/2012)
10/11/2012		MINUTE ORDER granting <u>13</u> Motion for Extension of Time. It is hereby ORDERED that defendant Securities and Exchange Commission (Commission) will file its motion for summary judgment by November 2, 2012; plaintiff Citizens for Responsibility and Ethics in Washington (CREW) will file its cross-motion for summary judgment and opposition to the Commission's motion for summary judgment by November 30, 2012; defendant Commission will file its opposition to CREW's cross-motion for summary judgment and reply to CREW's opposition to the Commission's motion for summary judgment by December 21, 2012; plaintiff CREW will file its reply to the Commission's Opposition by January 4, 2013. Signed by Judge Barbara Jacobs Rothstein on 10/11/2012. (lcbjr1) (Entered: 10/11/2012)
10/12/2012		Set/Reset Deadlines: Dispositive Motion due by 11/2/2012. Cross Motion due by 11/30/2012. Response to Cross Motions due by 12/21/2012. Reply to Cross Motion due by 1/4/2013. Response to Dispositive Motions due by 11/30/2012. Reply to Dispositive Motion due by 12/21/2012. (tth) (Entered: 10/12/2012)
10/31/2012	<u>14</u>	Consent MOTION for Extension of Time to <i>File for Summary Judgment and for a New Briefing Schedule</i> by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Text of Proposed Order)(Karr, Thomas) (Entered: 10/31/2012)
10/31/2012		MINUTE ORDER granting <u>14</u> Motion for Extension of Time and for a New Briefing Schedule. If the parties have not settled this action by November 16, 2012, the parties are ORDERED on that date to submit a new briefing schedule for motions for summary judgment. Signed by Judge Barbara Jacobs Rothstein on 10/31/2012. (lcbjr1) (Entered: 10/31/2012)
11/01/2012		Set/Reset Deadlines: new briefing schedule for motions for summary judgment due by 11/16/2012, if the case has not been settled. (tth) (Entered: 11/01/2012)
11/16/2012	<u>15</u>	Unopposed MOTION for Extension of Time to <i>File New Briefing Schedule</i> by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Text of Proposed Order)(Karr, Thomas) (Entered: 11/16/2012)
11/16/2012		MINUTE ORDER: Defendant's <u>15</u> Motion for Extension of Time is hereby GRANTED so that the parties may continue settlement negotiations. The parties shall file a Status Report on their settlement negotiations by November 30, 2012. Signed by Judge Barbara Jacobs Rothstein on 11/16/2012. (lcbjr1) (Entered: 11/16/2012)
11/16/2012		Set/Reset Deadlines/Hearings: Status Report due by 11/30/2012. (cdw) (Entered: 11/19/2012)
11/30/2012	<u>16</u>	STATUS REPORT <i>and Request for Extension of Time</i> by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Text of Proposed Order)(Karr, Thomas) (Entered: 11/30/2012)
12/03/2012		MINUTE ORDER: Defendant's Motion for Extension of Time is hereby GRANTED so the parties can continue settlement negotiations. The parties shall file another Status Report on their settlement negotiations by December 14, 2012. Signed by Judge Barbara Jacobs Rothstein on 12/3/2012. (lcbjr1) (Entered: 12/03/2012)
12/04/2012		Set/Reset Deadlines: Status Report due by 12/14/2012. (zmm,) (Entered: 12/04/2012)
12/14/2012	<u>17</u>	STATUS REPORT by SECURITIES AND EXCHANGE COMMISSION. (Karr, Thomas) (Entered: 12/14/2012)
12/20/2012	<u>18</u>	STIPULATION of Dismissal by CITIZENS FOR RESPONSIBILITY AND ETHICS IN WASHINGTON, SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> settlement agreement)(Hodes, Scott) (Entered: 12/20/2012)

12/20/2012	<u>19</u>	ORDER DISMISSING CASE. Signed by Judge Barbara Jacobs Rothstein on 12/20/2012. (lcbjr1) (Entered: 12/20/2012)
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